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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Chris Fotis Dimas *et al.*

Serial No: 10/766,831                      Art Unit: 2874  
Filed: January 30, 2004                      Examiner: N/A  
Subject: Lightguides

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450, Alexandria, VA 22313-1450, United States of America

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed, non US patent, documents are enclosed.
- ☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No. ....

**Relevance of information not in English - 37 CFR 1.98(a)(3)**


- ☐ To comply with 37 CFR 1.98(a)(3), EITHER a copy of US patent number ..... is submitted herewith, such US patent being cited in a family of patents corresponding to ..... patent number ..... listed in the form PTO 1449. OR an English language abstract is appended to ... patent No. .... (document AX)
- ☐ Document # discloses
- ☐ The relevance of .... is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

Respectfully submitted,

  
\_\_\_\_\_  
THOMAS ADAMS  
Reg. No. 31078

DATE: July 28, 2004

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Ottawa, Ontario  
Canada. K2H 7T8  
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Docket No. AP999US



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/766,831
Filing Date	January 30, 2004
First Named Inventor	DIMAS, Chris Fotis
Art Unit	2874
Examiner Name	
Attorney Docket Number	AP999US

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5,684,908	11-04-1997	Kross et al.	
	2	US- 5,608,834	03-04-1997	Van Leeuwen	
	3	US- 5,452,395	09-19-1995	Schichman et al.	
	4	US- 4,927,231	05-22-1990	Levatter	
	5	US- 4,697,870	10-06-1987	Richards	
	6	US- 4,045,119	08-30-1977	Eastgate	
	7	US- 5,717,807	02-10-1998	Theroux et al.	
	8	US- 4,235,508	11-25-1980	Kaprelian	
	9	US- 3,995,934	12-07-1976	Nath	
	10	US- 3,740,113	06-19-1973	Cass	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

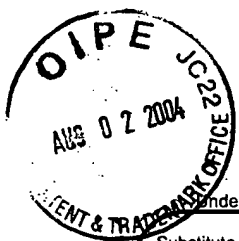
Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/766,831
		Filing Date	January 30, 2004
		First Named Inventor	DIMAS, Chris Fotis
		Art Unit	2874
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	AP999US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11	Optical System Design, chapter 5, pp. 78-85.	
	12	W.J. CASSARLY, editor: M. Bass, Handbook of Optics, Vol. 3, Second Edition, McGraw-Hill, 2001, chapter 2, pp. 2.28-2.33.	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
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